

01/16/01

01/16/01 PCT/PTO 16 JAN 2001 PCT

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		602-1505
INTERNATIONAL APPLICATION NO. PCT/GB99/02244		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/744043
INTERNATIONAL FILING DATE July 13, 1999		PRIORITY DATE CLAIMED July 17, 1998
TITLE OF INVENTION Time-of-Flight Mass Spectrometer		
APPLICANT(S) FOR DO/EO/US Stephen Andrew Davis, Alexander Alekseevich Markarov and Andrew David Hoffman		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li><li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li><li>7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li><li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li><li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li><li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li></ol>		
Items 13 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"><li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li><li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>17. <input type="checkbox"/> A substitute specification.</li><li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>19. <input type="checkbox"/> Certificate of Mailing by Express Mail</li><li>20. <input type="checkbox"/> Other items or information:</li></ol>		
<div style="border: 1px solid black; height: 100px; width: 100%;"></div>		

U.S. APPLICATION NO. 09/744043

INTERNATIONAL APPLICATION NO  
PCT/GB99/02244

ATTORNEY'S DOCKET NUMBER  
602-1505

21. The following fees are submitted:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	16 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$80.00
Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	

\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$860.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

**SUBTOTAL =**

\$860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

**TOTAL NATIONAL FEE =**

\$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

**TOTAL FEES ENCLOSED =**

\$860.00

Amount to be:  
refunded \$  
charged \$

- ☒ A check in the amount of **\$860.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **12-0913** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

William M. Lee, Jr.  
Lee, Mann, Smith, McWilliams, Sweeney & Ohlson  
P.O. Box 2786  
Chicago, Illinois 60690-2786  
(312) 368-1300  
(312) 368-0034

SIGNATURE

William M. Lee, Jr.

NAME

26,935

REGISTRATION NUMBER

January 16, 2001

DATE

09/744043

602-1505

JC07 Rec'd PCT/PTO 16 JAN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF )

Davis et al. )

SERIAL NO.: To be assigned )

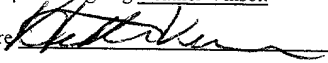
FILED: Herewith )

FOR: Time-of-Flight Mass Spectrometer )

)I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to "Commissioner for Patents, Washington, D C 20231,  
on January 16, 2001.

Name of person signing Heather Vinson

Signature



AMENDMENT ACCOMPANYING APPLICATION

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

The present application is the national filing of International Application No.  
PCT/GB99/02244. Before calculation of the national filing fee for the United States, it requested that  
the application be amended as follows:

In the Claims

Claim 3, line 1, delete "or claim 2"

Claim 4, line 1, delete "claims 1 to 3" and substitute - - claim 1 - -

Claim 5, line 1, delete "claims 1 to 4" and substitute - - claim 1 - -

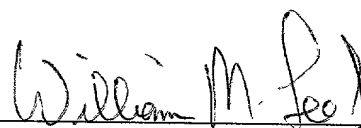
Claims 7, 8, 9 and 11, line 1, delete "any of the preceding claims" and substitute - - claim 1 - -

### Remarks

The above amendments are being made in order to eliminate multiple dependency and improper multiple dependency from the application before calculation of the application filing fee. Should any multiple dependency remain, that is unintended, and the Patent and Trademark Office is requested to cancel any remaining multiple dependent claims before calculation of the national filing fee for the United States.

Examination of the application on its merits is awaited.

Respectfully Submitted,



William M. Lee, Jr.  
Registration No. 26,935  
Lee, Mann, Smith, McWilliams,  
Sweeney & Ohlson  
P.O. Box 2786  
Chicago, Illinois 60690-2786  
(312) 368-1300  
(312) 368-0034 (fax)

January 16, 2001

Title: Time-of-Flight Mass Spectrometer

Field of Invention

This invention relates to time-of-flight mass spectrometers, in which the mass/charge ratio ( $m/z$ ) of a number of ions can be deduced from the time taken for the ions to be propelled a given distance by a suitable accelerating field such as an electrostatic field.

Background to the Invention

One example of a time of flight spectrometer is a Matrix Assisted Laser Desorption Ionisation Time of Flight (MALDI-TOF) spectrometer.

Such a spectrometer is commonly used to analyse high molecular weight substances, particularly biochemicals, and uses a short pulse of laser energy to eject and ionise molecules of an analyte from crystals which are held within a matrix formed from small organic molecules absorbent to the incident laser radiation. The matrix resonantly absorbs this radiation which accordingly causes an ablation of a region of the matrix, analyte mixture, and the formation of an expansion jet above the surface within which jet ion/molecule reactions take place. Molecules and ions can be ejected from the matrix with various different kinetic energies.

The ions so created are then accelerated towards a detector, normally by an electrostatic field. The kinetic energy KE, of a particle of mass  $m$  travelling at a velocity  $v$  is given by the equation:

$$KE = \frac{1}{2} mv^2$$

Thus, each particle travels with the velocity related to its mass by equation:

$$v = \sqrt{\frac{2KE}{m}}$$

Accordingly, if a pulse of ions is formed, defining time zero, and travels some distance to the detector, then the lighter the ion the earlier it will arrive at the detector. Consequently, the detector signal as a function of time will represent a  $m/z$  spectrum of particles of a given charge.

Time of flight mass spectrometers have two important advantages over other types of mass spectrometer:

1. They have a very high sensitivity because most of the ions produced will be detected at the detector, in contrast to scanning spectrometers in which only ions of a given  $m/z$  are focussed on the detector at any one time; and

2. A very large mass range, limited only by the efficiency of the detection of high mass ions, can be achieved by a TOF spectrometer.

However, one of the most important factors which limits the accuracy of mass measurements obtained by a MALDI-TOF spectrometer is the variation in ion extraction times from the region of the source. This is believed to arise from variations, from pulse to pulse of the laser, in the initial velocities of ions and positions at which the ions are formed following the laser pulses.

One way of attempting to reduce the effect of this problem is to use very high extraction fields to accelerate the ions towards the detector. However, this does not remedy the problem itself and mass accuracy and mass resolution is still limited.

It is known to equip a spectrometer with a reflectron to increase the mass resolution of the spectrometer. The reflectron reflects the ions to increase the path lengths from source to detector whilst causing the more energetic ions (of a given  $m/z$ ) to travel a further distance than the less energetic ions to compensate for the differing ion velocities. The reflectron can therefore position the faster moving ions behind the ions with slower velocities. If this happens, the faster ions will eventually catch up with and overtake the slower moving ions at a temporal focal point of the spectrometer.

In addition, it is known to use delayed extraction techniques, whereby a delay is introduced between the firing of the laser pulse and the application of the accelerating field so that

when the field is applied, ions with a higher initial velocity will have drifted further away from the sample plate than those with a lower initial velocity.

The latter ions are thus accelerated to a greater degree than those which originally had a higher energy. The ions with the lower initial velocities will then catch up to and eventually overtake the other ions at another temporal focal point.

There has also been reported a variation on delayed extraction where there is a small retarding field between the sample plate and the first extraction plate during the delay period, prior to application of the extraction pulse. (US Patent No. 5,625,184).

However, even when these techniques are combined, the variations in initial kinetic energies can still significantly reduce the mass accuracy of the spectrometer. Mass accuracy is also affected by variations in power supply voltages (from which the accelerating voltages are derived), temperature drift and other factors which may influence flight times of ions to the detector.

A known way of further improving the mass accuracy of the spectrometer is to use internal standards. An internal standard is a known compound (or number of compounds) which is mixed with the sample to be analysed and is ionised with the analyte. It is believed that the same variations in initial conditions will be experienced by both the known compound(s) and the analyte, so that the internal standard can then be used to recalibrate the mass



spectrum obtained from the detector. However, it can be difficult to cause the internal standard to co-crystallise uniformly with the compounds being analysed.

### Summary of the Invention

According to the invention, there is provided a time of flight mass spectrometer for measuring characteristics of the  $m/z$  ionised particles, the spectrometer comprising acceleration means for accelerating the particles along at least two paths and two detectors which are situated one in each respective path and are operable to detect particles travelling therealong, wherein the length of the path leading to the first detector differs from that of the path leading to the second detector to a sufficient extent to enable the difference in the detection times of corresponding particles at the two detectors to be used to provide a measurement of said characteristics.

It will be appreciated that, for the purposes of this specification, the characteristics to be measured, may for example, comprise charge to mass ratio or its reciprocal.

Variations in the initial velocities or ionisation times of the particles will affect the outputs of both detectors. However, these variations will have a similar effect on the detector outputs so that one detector can, in effect, be used to calibrate or correct the output of the other detector. Similarly, variations of any other parameters such as accelerating voltages will affect the outputs of both detectors.

Typically, the output of each detector will have one or more peaks. Consequently, the analysis of the detector outputs could involve identifying corresponding peaks in the detector outputs, and calculating the difference in their respective times of occurrence.

Preferably, the spectrometer includes temporal focusing means for at least partially compensating for any spread in the initial kinetic energies of particles of a given  $m/z$  so as to provide two temporal focal points, wherein each detector is situated at a respective temporal focal point. The focusing means can function in one or more of a number of ways. For example, particles with higher kinetic energies can be caused to travel along longer paths than those with lower kinetic energies, and/or can be accelerated to a lesser extent than the slower particles.

Preferably, the spectrometer is operable to create a beam of said particles, said beam containing both of said paths.

In this case, the focusing means may to advantage comprise reflection means for reflecting the particles in the beam in such a way that the higher the kinetic energy of particles of a given charges and mass, the longer the path of those particles through the reflection means, the reflection means being situated in the path of the beam between the two detectors.

Preferably, the focusing means comprises further reflection means positioned in the path of the beam between the sample and first of the detectors so that the beam is of a generally serpentine shape.

The spectrometer conveniently includes a laser for releasing said ionised particles from the sample. Alternatively, the spectrometer may use other means to create ions, for example electrospray ionisation, electron impact ionisation, chemical ionisation, elevated pressure MALDI etc.

The focusing means may further comprise delay means for delaying the operation of the acceleration means for a set time after the operation of the laser, the acceleration means being so arranged that the further a particle has travelled from the sample before the acceleration means is activated, the lower the acceleration of the particle.

Preferably, the spectrometer includes data processing means which is connected to both detectors and is operable to identify corresponding portions of the detector outputs, and measure the difference between the times at which said portions occurred.

Preferably, said portions comprise peaks in the outputs of the detectors.

Preferably, the spectrometer is a MALDI-TOF spectrometer, although the principle may be applied to other types of mass spectrometers, for example orthogonal extraction TOF mass spectrometers, quadrupole-TOF or sector-TOF mass spectrometers. The principle is applicable to both ion counting and analogue detection systems.

Preferably, the spectrometer includes trapping means for temporarily trapping particles released from the source in a zone adjacent the sample prior to the acceleration of the particles.

The trapping means helps to compensate for variations in particle extraction times from a sample.

Preferably, the trapping means includes means for injecting a gas into that zone to interact with the particles.

The trapping means is an example of ion transport means between the ion source and acceleration region. In other embodiments of the dual detector principle described herein the ion source region may be separated by other forms of ion transport means. The ion transport means may comprise for example a differentially pumped interface or any number of prior stages of mass spectrometric analysis.

#### Brief Description of the Drawings

The invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 is a diagrammatic sectional side view of a MALDI-TOF mass spectrometer in accordance with the invention;

Figure 2 is a more detailed sectional side view of a detector for the spectrometer;

Figure 3 is a diagram showing the paths of two ions through a reflector of the spectrometer;-

Figures 4 and 5 are simplified representations of signals which are obtained from two detectors of the spectrometer;

Figure 6 shows a signal derived from the signals from the detectors; and

Figure 7 is a sectional side view of part of a modified version of the spectrometer.

### Detailed Description

The spectrometer shown in Figure 1 has a housing 2 which defines a vacuum chamber 1 and includes an end portion 4 having a sample lock which contains a sample plate 6 for holding a sample to be analysed. The sample lock includes a sealable door (not shown) to provide access to the plate 6 from outside the housing 2, the sample lock includes a further door for sealing the lock from the rest of the chamber 1 so that a sample can be placed on or removed from the plate 6 without having to pressurise the chamber 1.

A pulse laser 8 is situated outside the housing 2 in a position in which light from the laser 8 passes through a window 10 in the housing 2 and onto the sample plate 6. A succession of

annular electrodes 12 is interposed between the sample plate 6 and the main body of the housing 2, the central apertures of the electrodes 12 being in alignment with each other so that ions emitted from the sample 6 can pass along a path through the apertures in the electrodes 12 into the main body of the housing 2. That path extends through an annular lens and accelerator unit 14.

The lens/accelerator unit functions as a lens in normal operation to provide some focusing of the beam in order to improve transmission through the instrument. The lens/accelerator unit is situated after an ion gate. The ion gate in this embodiment consists of wires which can carry voltages of alternating polarity to deflect the ion beam away from the normal path so it does not reach the detectors. If voltages to the gate are switched off at a particular instant in time when ions of a particular  $m/z$  ratio arrive at the gate, they will be transmitted through the instrument. The gate is used in the post source decay (PSD) mode of the instrument. PSD refers to the fragmentation of ions after they have been extracted from the ion source. PSD fragment ions have different kinetic energies from the parent ion from which they are derived but travel with nearly the same velocity. Thus, each  $m/z$  parent ion and its PSD fragment ions will arrive at the ion gate at essentially the same time and can be gated into the instrument while all other  $m/z$  parent ions are rejected.

An ion once selected by the ion gate can be distinguished from its PSD fragments by subjecting all the ions to electric fields. IN PSD mode, the lens/accelerator unit functions as an accelerator to accelerate all the ions to high energy. This means the relative energy difference between the PSD fragment ions is reduced to increase the mass resolution of the

recorded mass spectrum. The chamber has an inner skin in the form of a conductive liner 28, which can be floated to voltages different to that of the vacuum chamber wall. In PSD mode of operation the liner is floated to high voltages (negative in the case of positive ions) in order to maintain the high energy of the ions after acceleration by the lens/accelerator unit.

The lens and accelerator unit 14 is carried by a cylindrical liner 16, the axis of which is directed to a reflection means comprising first reflectron 18. The reflectron 18 takes a form of a cylinder defined by a succession of alternating annular electrode plates and annular insulating spacers. The plates are connected to a voltage supply (not shown) which applies a respective voltage to each electrode plate. The voltages applied to the plates are of the same polarity as the charge on the ions to be analysed by the spectrometer, and progressively increase so that the magnitude of the applied voltage at the end of the reflectron 18 closer to the lens and accelerator unit 14 is lower than the magnitude of the voltage applied to the electrode plate at the opposite end of the reflectron 18.

Just beyond that end of the reflectron 18 there is situated a micro channel plate detector 20, which allows the spectrometer to be used for a linear mode of analysis (when the reflectron 18 is not active). A further similar detector 22 is positioned adjacent the lens/accelerator 14 and between the reflectron 18 and a further, identical reflectron 24.

It is possible to add an ion gate between the two reflectrons to select parent ions for subsequent fragmentation before entry to the second reflectron. The two halves of the instrument i.e. from the source to the detector and from the first detector to the second

detector can then be used as separate TOF mass spectrometers to perform tandem mass spectrometry (MS/MS). In this case additional liners and ion optics can be added as appropriate.

The detector 22 is connected to a thin conducting shield 26. Both the detector 22 and shield 26 are shown in more detail in Figure 2, from which it can be seen that the detector and shield are mounted on a cylindrical liner 28 in the path, which in use, is taken by some of the ions to be analysed by the spectrometer. It can be seen from Figure 2 that the detector 22 captures those ions, while allowing the remaining ions to continue towards the reflectron 24, the shield preventing the detector 22 from influencing the trajectories of those ions.

A third detector 30, identical to the detectors 22 and 20 is positioned at the end of the housing 2 opposite the reflectron 24, in such a way as to capture ions reflected by the latter. The output of the detectors 20, 22 and 30 are connected via a data acquisition system 32 to a computer 34.

In use, a substance to be analysed, having been incorporated into a suitable matrix, is placed on the sample plate 6. The door to the sample lock 4 is then closed.

The laser 8 then fires a pulse of ultra violet light of a wave length of 337nm through the window 10 and onto the sample on the plate 6. The matrix resonantly absorbs the laser light which consequently causes a jet of material, which contains of the analyte to erupt from a region of the sample.



The molecules of analyte contained in the jet travel away from the sample at differing speeds, and are ionised at various different times after the occurrence of the pulse.

After a predetermined delay, an accelerating electrostatic field is applied to the jet by applying a voltage to the electrodes 12. When this happens, the ions which were ejected at high velocities will have drifted further away from the sample plate 6 and closer to the electrodes 12 than those ions of the jet which have lower velocities.

The further the ions are from the electrodes 12 when the field is applied, the greater their acceleration. The electrodes 12 create a beam in which, as a result, the ions having low initial velocities (ie before the extraction field is applied) are travelling at higher velocities than the ions with the high initial velocities, and therefore eventually catch up with and overtake the latter ions at a first temporal focal point. It is also possible to have a time varying electric field near the sample plate prior to application of the extraction pulse to further correct for the velocity speed.

In Figure 1, the path taken by the beam of ions is indicated by reference numeral 36, from which it can be seen that the beam travels through the lens and accelerator unit 14 and on to the first reflectron 18, the function of which will now be described. The mass resolution of a signal obtained from a detector in a time of flight spectrometer is given by the formula:

$$R = \frac{T}{2\Delta T}$$

Where  $T$  is the total flight time to the centre of the detected peak, and  $\Delta T$  the duration of the detected peak. Resolution can therefore be increased by increasing  $T$  and/or decreasing  $\Delta T$ .

Increasing  $T$  is achieved by increasing the flight paths length. However, simply doubling the length of the flight path does not necessarily double resolution since  $\Delta T$  also tends to increase with increasing path lengths, due to the energy (and hence velocity) spread of the ions in the beam.

The reflectron 18 increases the resolution of the spectrometer by increasing flight length, but maintaining  $\Delta T$  at very nearly the same value as existed after extraction from the sample. Ions passing into the reflectron 18 are subjected to an electric field, the intensity of which progressively increases as the ions travel towards the detector 20. It will be appreciated that the higher the initial kinetic energy of an ion, the further into the reflectron 18 it will travel before stopping and being accelerated back out of the reflectron by the electric field.

This effect is illustrated in Figure 3, in which reference numeral 38 indicates an ion travelling into the reflectron 18 at a relatively low velocity and the reference numeral 40 indicates an ion travelling at a higher velocity. Although the lower velocity ion 38 reaches the reflectron 18 after the higher velocity ion, it follows a shorter path to the reflector,

indicated by reference number 42, and thus exits the reflectron 18 before the ion 40 (which has followed the longer path 44).

The ion 40 is still travelling at a higher velocity than the ion 38 and will therefore eventually catch up to and overtake the latter at a temporal focal point in the spectrometer. The detector 22 is positioned at that focal point, and projects half way into the beam (indicated in Figure 2 by reference numeral 46) to intercept the ion below the axis of that beam. Other means of selecting a portion of the beam for detection on detector 22 such as a multi-element deflector will also be possible.

In the graph shown in Figure 4, the horizontal axis indicates the time from the firing of a delayed extraction voltage pulse applied to the electrodes 12 to accelerate the ions, the origin being the time at which the pulse was fired, whilst the vertical axis indicates the magnitude of the signal produced by the detector, and hence the number of ions detected.

The ions which are not counted by the detector 22 travel in a beam into the reflectron 24 which performs a similar function to the reflectron 18, reducing the spatial spread of ions (of the same mass to charge ratio) of different velocities and directing the beam towards a second temporal focal point at which the detector 30 is situated. Figures 4 and 5 are simplified graphs illustrating the signals which would be produced by the detectors 22 and 30 if all the ions in the beam have the same charge to mass ratio. If all the ions were released simultaneously from the same position on the sample with the same initial

velocity, the signal produced by the detector 22 would be a simple peak of an infinitesimal width.

However, due to the variation of initial kinetic energies of the ions and of ion extraction times, the signal produced by detector 22 will comprise a gradual ascent 47 to a maximum 48 which is followed by a gradual descent 50. The ascent, maximum and descent of a signal are commonly referred to as a peak, which can therefore have a finite width.

The broken line trace 52 indicates the signal which might be generated by ions released from the sample by a second laser pulse. It can be seen that the signal produced from pulse to pulse may shift due to different ejection characteristics of the sample.

The maximum portion of this signal, denoted by the reference numeral 54, is displaced in time relative to the peak 48 because the second laser pulse imparted, on average, lower kinetic energies to the ions than did the first pulse.

However, as is shown in the graph of Figure 5, the axes of which correspond to those of Figure 4, this displacement is also present in the signals produced by the detector 30, in which peaks 56 and 58 correspond to peaks 48 and 54 respectively.

The computer 34 is programmed to identify the peaks from the outputs of the individual detectors and to correlate the maxima 48 and 56 and 54 and 58. The computer then creates the graph shown in Figure 6, in which the horizontal axis indicates the time delay between

corresponding portions of signals produced by detectors 22 and 30. Consequently, the displacement between the peaks in the signal from the detector 22 is cancelled out by the corresponding displacement in the signals from the detector 30, to give substantially the same peak position 60 for both laser pulses.

The corresponding peaks on detectors 22 and 30 can be determined from the calibrations of the two detectors. Once this has been done the peaks can be correlated in a point by point fashion in order to plot the new peak(s) generated from the time difference between each correlated point.

The peaks on the first detector (detector 22) are correlated with the peaks on the second detector (detector 30) using an appropriate correlation function, so that each time bin across the peaks of the detector 30 can then be correlated with a time bin across the peaks on the detector 22. The flight times at each of the points across the peak on detector 22 are then subtracted from the flight time of the corresponding points on detector 30. The flight time differences are then plotted on a new time graph which is representative of the flight times between the two detectors. Since the peak widths of the peaks on the two detectors in the described embodiment should be similar, the plotted peaks in the differential spectrum will be much narrower.

Alternatively the centroid(s) of the peak(s) on detectors 22 and 30 may be calculated and the time difference between the centroids used as the centroid of the peak in the differential spectrum.

The process may be repeated for each successive pulse, and the individual pulses are accumulated in the differential spectrum. Alternatively a number of pulses may be accumulated and/or averaged before any mathematical procedure is used to determine the differential spectrum. Since the jitter in arrival times at the two detectors will be in the same direction, the time position in the differential mass spectrum will be relatively invariant and peak widths should remain narrow. Narrow peaks mean the accuracy of the  $m/z$  values assigned to the peaks will be high.

The differential mass spectrum is used for determining the  $m/z$  values to high accuracy. It will not however reflect the true mass resolution of the mass spectrometer. The true mass resolution of the instrument will be evident from individual shots on detector 30. The individual shots on detector 22 can also be accumulated and aligned on the basis of the jitter determined from the differential measurements.

In reality, the sample may produce ions of differing charge to mass ratios, so that the detectors 22 and 30 produce signals each of which has a series of peaks. However, the spectrometer can be calibrated to a reasonably high degree of accuracy so that it would be possible to ascertain which peaks corresponds to the same mass/charge ratio on the two detectors.

Figure 7 shows a part modified version of the spectrometer identical to the spectrometer shown in Figure 1, apart from the inclusion of a trapping means comprising a trapping cell 62 interposed between the sample plate 6 and the annular electrode 12.

The object of the cell 62 is to provide high mass accuracy by accounting for variations in flight times due for example to variations in voltages, ejection velocities etc. In the case of MALDI-TOF it is desirable to have uniform sample preparation and good control over laser power to achieve the best mass resolution. Variations in these parameters are compensated for to some extent by delayed extraction. However, it would be an advantage to be able to de-couple the ion source extraction times from the timing of the TOF mass spectrometer.

The preferred embodiment of trapping cell is a cylindrically symmetric trapping cell 62 positioned after the sample plate 6. Ions are extracted from the source and injected into the trap 62 where they are contained within a confined region of space by radio frequency fields created by applying suitable voltages to three annular electrodes 64, 66 and 68. A pulse of gas is then introduced into the cell via a pulsed valve to form a jet from two opposing sides. The cell region defined by the electrode 66 is pumped via ports 70 and 72 connected to a vacuum source, remains under high vacuum.

The ions in the trap 62 undergo multiple low energy collisions with the background gas and migrate to the centre of the trap during the time period the high pressure exists. The ions are then ejected from the trap into the mass spectrometer as before by applying a voltage pulse to the electrodes 12. The pulse initiates the start of time for the TOF mass

spectrometer. It is also possible to use such a device with a continuous beam ion source such as electrospray, where the trap is filled for a period of time before cooling and ejection into the mass spectrometer. Any other construction of trap, for example quadrupole ion trap could also be used.

It will be appreciated that various modifications may be made to the spectrometer without departing from the scope of the invention. Thus, for example, the spectrometer could have just one reflectron. In addition, the spectrometer may use alternative types of detector, for example channeltrons or dynode electron multipliers or image current detection.



CLAIMS

1. A time of flight mass spectrometer for measuring the  $m/z$  of ionised particles, the spectrometer comprising: an ion source for generation of said ionised particles, acceleration means for acceleration of said ionised particles so as to form an ion beam, means for sampling from the ion beam such that a share of the beam is detected on each of two detectors such that the time of flights for any or all ions of a given  $m/z$  to each of the two detectors is used for the purpose of improving the accuracy of measurement of the  $m/z$  values of ions.
2. A spectrometer according to claim 1, in which the spectrometer includes temporal focusing means for at least partially compensating for any spread in the initial kinetic energies of particles of a given  $m/z$  so as to provide two temporal focal points, wherein each detector is situated at a respective temporal focal point.
3. A spectrometer according to claim 1 or claim 2, wherein the spectrometer includes interfacing means for transporting, or allowing the transport of ions from the sample to the acceleration means.
4. A spectrometer according to claims 1 to 3 wherein the direction of the ion beam at the entry to the acceleration means is inclined at any angle to the direction of acceleration.
5. A spectrometer according to claims 1 to 4, in which the focusing means comprises reflection means for reflecting the particles in the beam in such a way that the higher the kinetic energy of particles of a given charges and mass, the longer the path of those particles through the reflection means, the reflection means being situated in the path of the beam between the two detectors.
6. A spectrometer according to claim 5, in which the focusing means comprises further reflection means positioned in the path of the beam between the sample and first of the detectors so that the beam is of a generally serpentine shape.

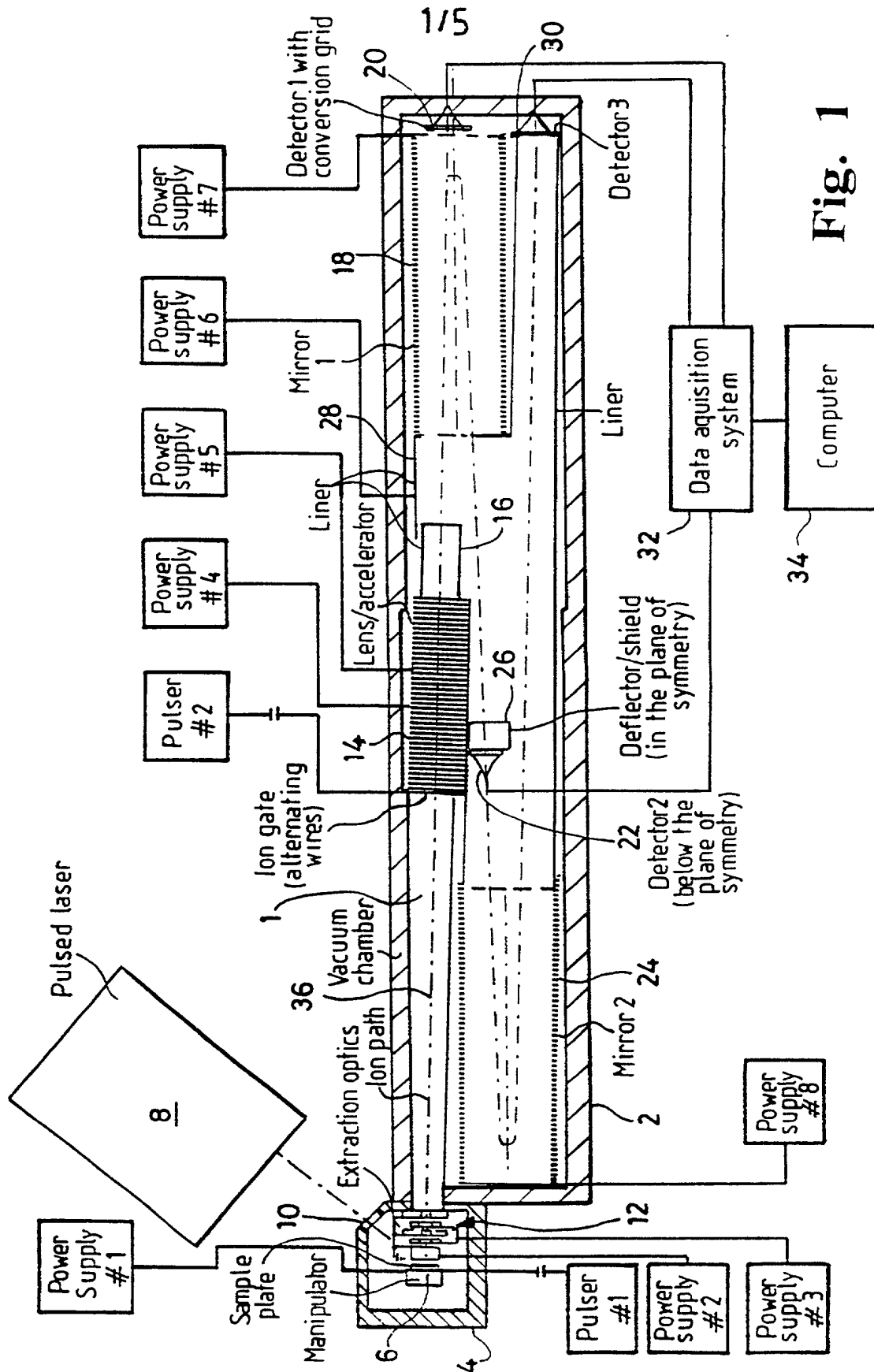
7. A spectrometer according to any of the preceding claims, in which the spectrometer includes a laser for releasing said ionised particles from the sample or any other ion source used in mass spectrometry.
8. A spectrometer according to any of the preceding claims, in which the focusing means further comprises delay means for delaying the operation of the acceleration means for a set time after the release of said ionized particles.
9. A spectrometer according to any of the preceding claims, in which the spectrometer includes data processing means which is connected to both detectors and is operable to identify corresponding portions of the detector outputs, and measure the difference between the times at which said portions occurred.
10. A spectrometer according to claim 8, in which said portions comprise peaks in the outputs of the detectors.
11. A spectrometer according to any of the preceding claims, in which the spectrometer is a MALDI-TOF spectrometer.
12. A spectrometer according to claim 3, in which the interfacing means comprises trapping means for temporarily trapping particles released from the source in a zone adjacent the sample prior to the acceleration of the particles.
13. A spectrometer according to claim 11, in which the trapping means includes means for injecting a gas into that zone to interact with the particles.
14. A method of time of flight spectrometry for measuring characteristics of the  $m/z$  of ionized particles, the method comprising the steps of:-
- a) releasing said ionized particles from a sample;
  - b) accelerating said particles along two paths;

c) measuring the times of arrival of the particles at two points, one on each respective path, at differing distances from said sample; and

d) measuring the differences or average differences in arrival times of corresponding particles at said points to enable said  $m/z$  characteristics to be determined.

15. A method according to claim 13, in which both of said paths are contained in a single particle beam, with one path running alongside, but stopping short of, the other.

16. A method according to claim 14, in which the beam is of a generally serpentine shape.



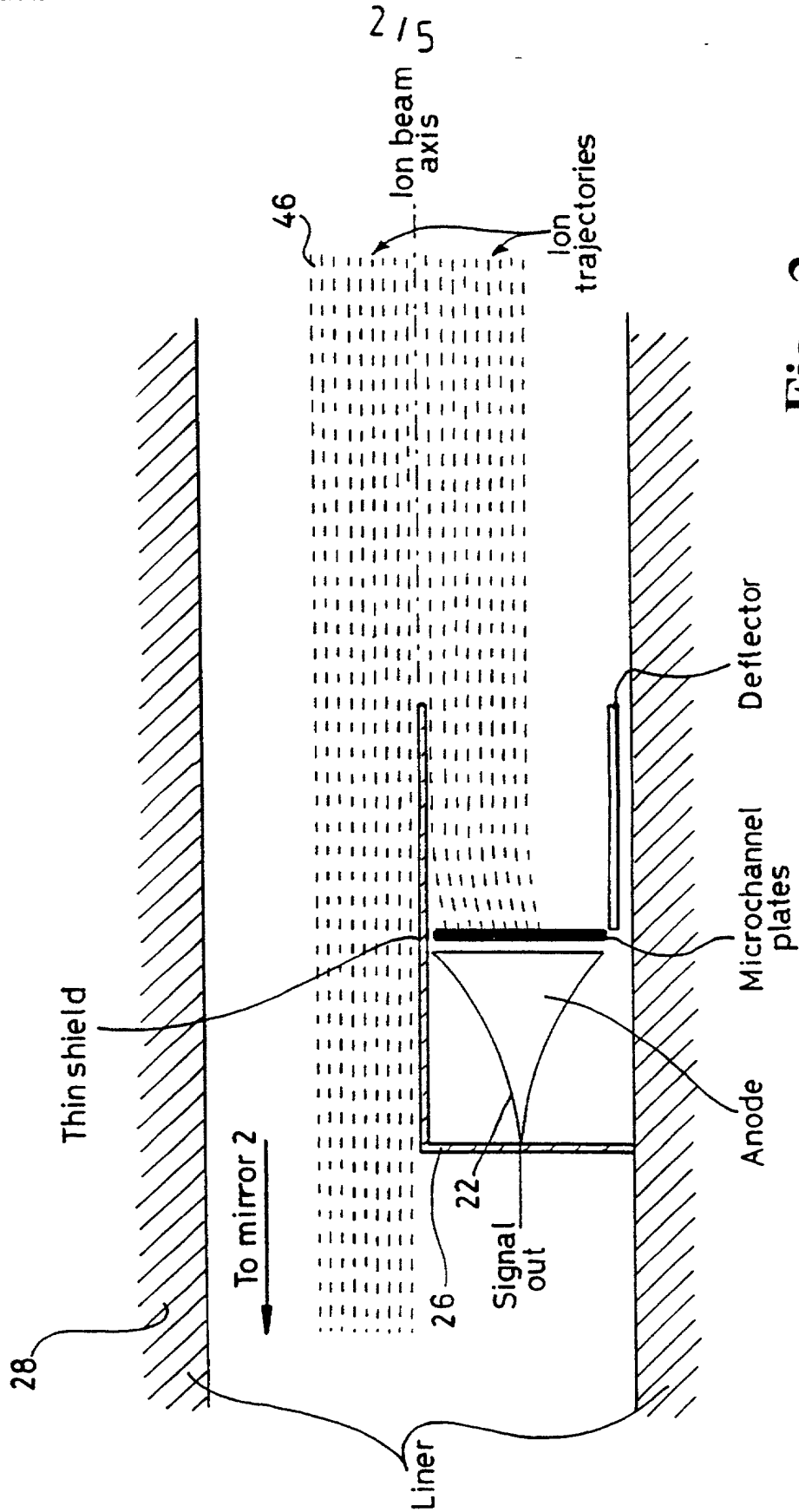


Fig. 2

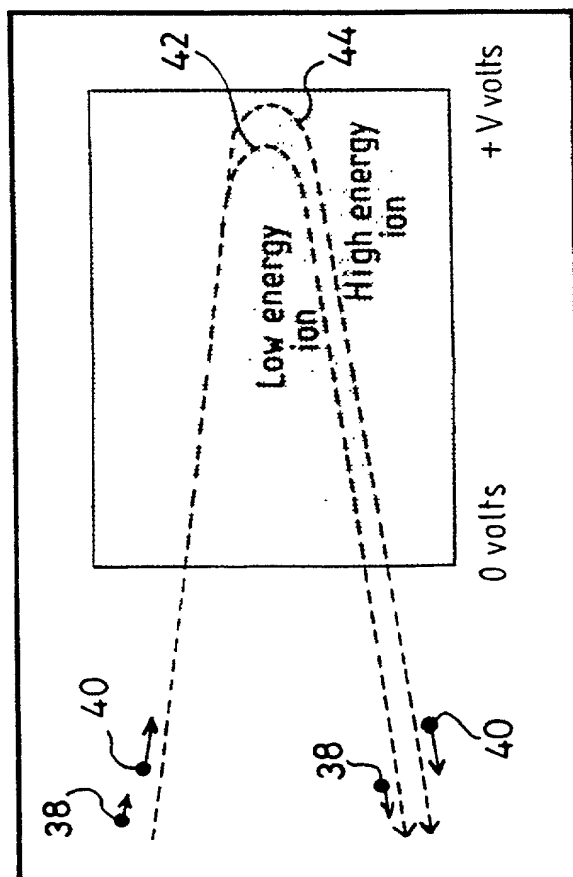
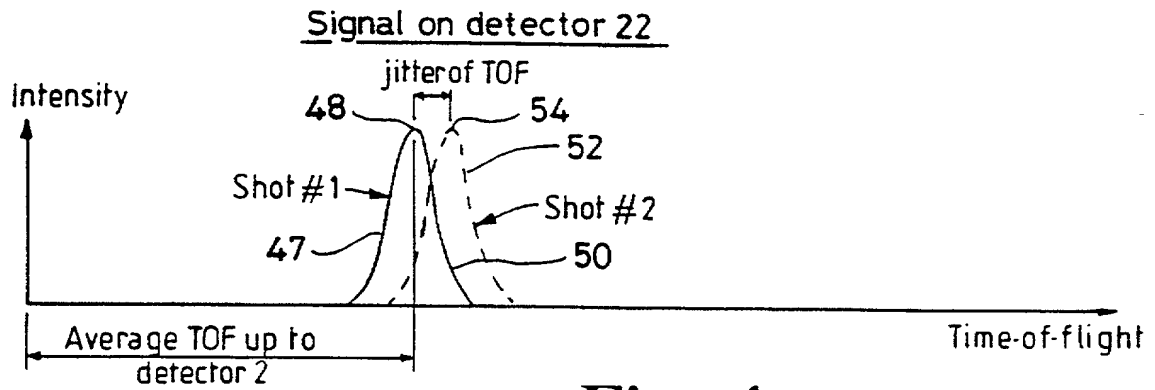
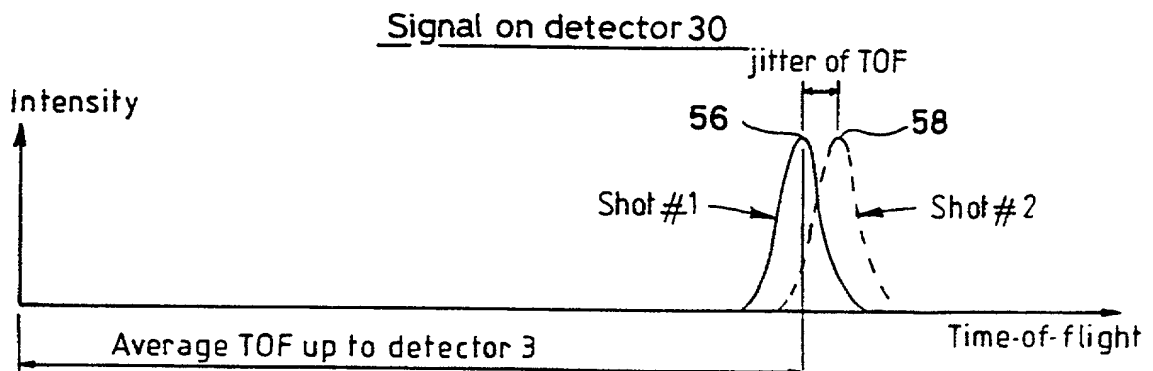
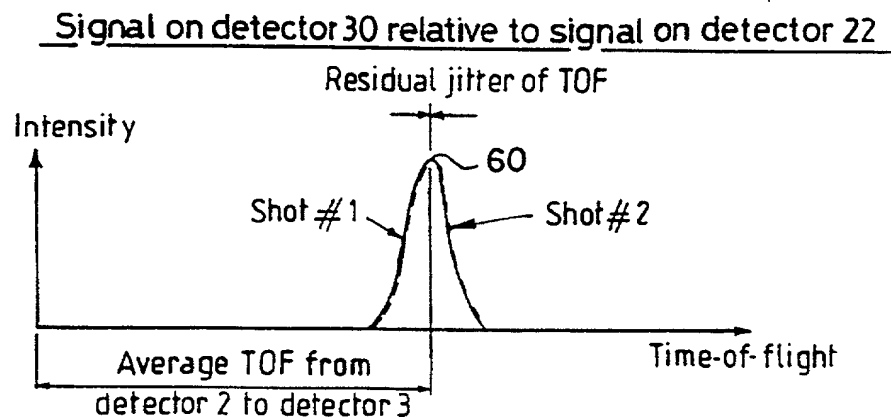
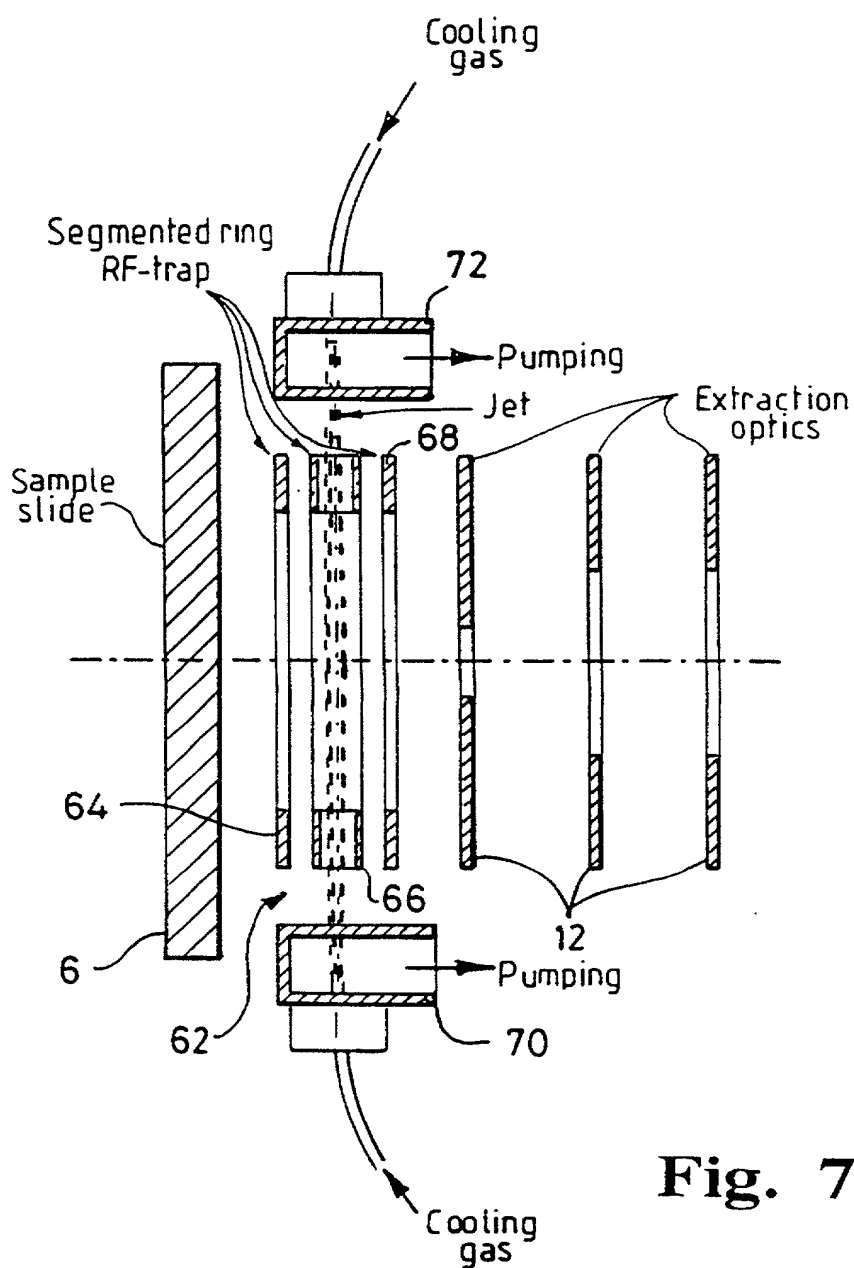


Fig. 3

4/5

**Fig. 4****Fig. 5****Fig. 6**

5/5

**Fig. 7**



**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated  
below next to my name.



I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Time-of-Flight Mass Spectrometer, the specification of which:

\_\_\_ is attached hereto.  
X was filed on 13th July 1999 as  
Application Serial No. PCT/GB99/02244  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

<u>Country</u>	<u>Number</u>	<u>Date Filed</u>	<u>Priority Claimed</u>	
			<u>Yes</u>	<u>No</u>
GB	9815457.8	17.07.98	X	
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
_____	_____	_____
_____	_____	_____

And I hereby appoint Wm. Marshall Lee, Registration No. 16,853, John M. Mann, Registration No. 17,775, Thomas E. Smith, Registration No. 18,243, Dennis M. McWilliams, Registration No. 25,195, James R. Sweeney, Registration No. 18,721, William M. Lee, Jr., Registration No. 26,935, Glenn W. Ohlson, Registration No. 28,455, David C. Brezina, Registration No. 34,128, Jeffrey R. Gray, Registration No. 33,391, Timothy J. Engling, Registration No. 39,970, Gregory B. Beggs, Registration No. 19,286, Gerald S. Geren, Registration No. 24,528 and Peter J. Shakula, Registration No. 40,808, as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. It is requested that all communications be directed to Lee, Mann, Smith, McWilliams, Sweeney & Ohlson, P.O. Box 2786, Chicago, Illinois 60690-2786, telephone number (312) 368-1300.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: DAVIS, Stephen Charles

Signature [Signature] Date 16/1/01

Country of Residence: GB

Country of Citizenship: AU

Post Office and Residence Address: 8 Flash Lane, Bollington, Macclesfield  
Cheshire SK10 5AQ, United Kingdom GBN

Full name of joint inventor: MAKAROV, Alexander Alekseevich

Signature ✗ Makarov Date ✗ 18.01.01

Country of Residence: GB

Country of Citizenship: RU

Post Office and Residence Address: 90 Malborough Avenue, Cheadle Hulme

Cheshire SK8 7AR United Kingdom GBN

Full name of joint inventor: HOFFMAN, Andrew David

Signature ✗ Hoffman Date ✗ 18/1/01

Country of Residence: GB

Country of Citizenship: GB

Post Office and Residence Address: 15 Spath Road, Didsbury, Manchester M20 2QT  
United Kingdom